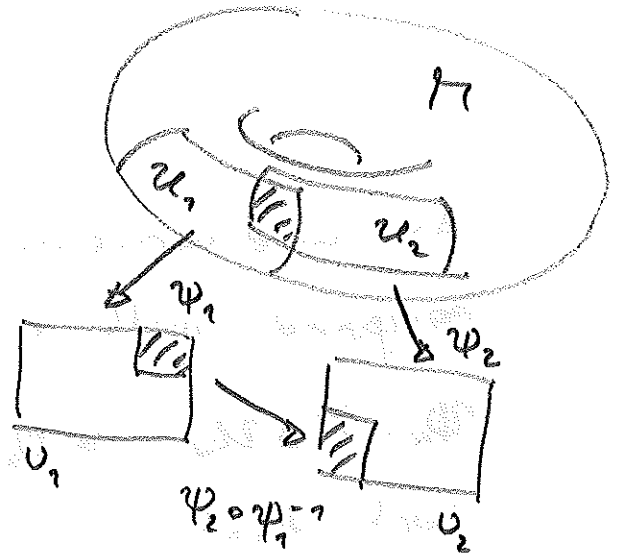


# Reminder of Abhay's last lecture

## I. Differential geometry

### 1. Manifolds



Some basic definitions

(i) Let  $M$  be a set, a covering  $\mathcal{C}$  of  $M$  is a collection  $\mathcal{U}_1, \dots, \mathcal{U}_N, N < \infty$  of subsets of  $M$  such that  $\forall p \in M \exists i: p \in \mathcal{U}_i$ .

(ii) A coordinate system is a 1-1 map  $\psi_i$  from  $\mathcal{U}_i$  to an open subset  $V_i$  of  $\mathbb{R}^n$ .

(iii) Two charts  $\psi_1, \psi_2$  are called compatible if the transition functions

$$\psi_1 \circ \psi_2^{-1} : \psi_2(\mathcal{U}_1 \cap \mathcal{U}_2) \rightarrow \mathbb{R}^n$$

$$\psi_2 \circ \psi_1^{-1} : \psi_1(\mathcal{U}_1 \cap \mathcal{U}_2) \rightarrow \mathbb{R}^n$$

are smooth (i.e.  $C^\infty$ ). Replacing here  $C^\infty$  by  $C^k$  may be sometimes necessary.

(iv) We call any subset  $\mathcal{U} \subset \mathcal{U}_i$  open if  $\psi_i(\mathcal{U})$  is open in  $\mathbb{R}^n$ .

With these preparations we are now ready to define a smooth  $n$ -dimensional manifold  $M$ :

An  $n$ -dimensional manifold  $M$  is a set equipped with a collection of charts  $(U_\alpha, \psi_\alpha)$

$\psi_\alpha: M \supset U_\alpha \rightarrow V_\alpha \subset \mathbb{R}^n$ ;  $p \mapsto \psi_\alpha(p) = (x^1(p), \dots, x^n(p))$   
such that:

(i) Any two charts are compatible.

(ii)  $M = \bigcup_\alpha U_\alpha$

(iii) Any chart which is compatible with all the other charts is itself in the collection.

(iv) If  $p, q \in M$ :  $p \neq q$  then there exist open neighbourhoods  $U_p, U_q$  of  $p$  and  $q$  respectively such that  $U_p \cap U_q = \emptyset$   
(where  $U_p$  is an open neighbourhood of  $p$ , if  $U_p$  is open and  $p \in U_p$ )

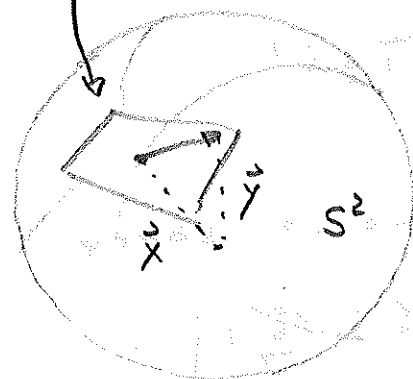
Point (iv) is the Hausdorff separation axiom.

## 2. Tangent vectors and tensors on $M$

### 2.1 Motivation

Consider the unit sphere  $S^2 = \{\vec{x} \in \mathbb{R}^3 : |\vec{x}| = 1\}$ .  
The tangent space at a point  $\vec{x} \in S^2$  is the 2-dimensional plane:

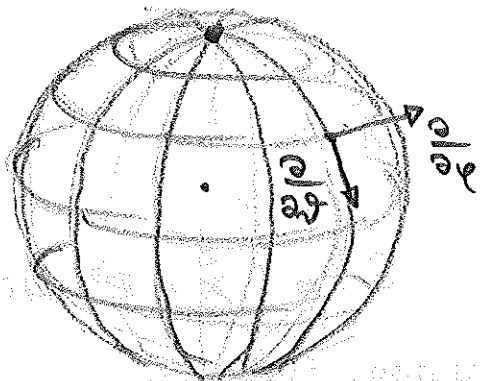
$$\begin{aligned} \{\vec{y} \in \mathbb{R}^3 \mid \vec{y} \cdot \vec{x} = 0\} &= \\ T_{\vec{x}} S^2 &= \vec{x} + \underbrace{\{\vec{y} \in \mathbb{R}^3 \mid \vec{x} \cdot \vec{y} = 0\}} \end{aligned}$$



This is a 2-dimensional  
vector space  $T_{\vec{x}} S^2$ .

This is, however, not a very useful construction - it works only because we have embedded  $S^2$  into  $\mathbb{R}^3$ . The question is, therefore: Can we describe  $T_{\vec{x}} S^2$  through structures intrinsically defined on  $S^2$ ?

Idea: Look at smoothly curves meeting in a point.



Consider the following parametrization of the sphere:

$$\theta \in (0, \pi); \quad \varphi \in [0, 2\pi)$$

$$\vec{X}(\theta, \varphi) = \begin{pmatrix} \sin \theta \cos \varphi \\ \sin \theta \sin \varphi \\ \cos \theta \end{pmatrix} \in S^2 - \left\{ \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}, \begin{pmatrix} 0 \\ 0 \\ -1 \end{pmatrix} \right\}$$

Compute the velocities:

$$\frac{\partial}{\partial \theta} \vec{X} = \begin{pmatrix} \cos \theta \cos \varphi \\ \cos \theta \sin \varphi \\ -\sin \theta \end{pmatrix} = \vec{V}_\theta |_{\vec{X}} \in T_{\vec{X}} S^2$$

$$\frac{\partial}{\partial \varphi} \vec{X} = \sin \theta \begin{pmatrix} -\sin \varphi \\ \cos \varphi \\ 0 \end{pmatrix} = \vec{V}_\varphi |_{\vec{X}} \in T_{\vec{X}} S^2$$

Now,  $\vec{V}_\theta |_{\vec{X}}$  and  $\vec{V}_\varphi |_{\vec{X}}$  span the tangent space  $T_{\vec{X}} S^2$  at  $\vec{X} = \begin{pmatrix} \sin \theta \cos \varphi \\ \sin \theta \sin \varphi \\ \cos \theta \end{pmatrix} \in S^2 - \left\{ \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}, \begin{pmatrix} 0 \\ 0 \\ -1 \end{pmatrix} \right\}$ .

The idea is now to identify the tangent vectors  $\vec{V}_\theta$  and  $\vec{V}_\varphi$  with the directional derivatives  $\frac{\partial}{\partial \theta}$  and  $\frac{\partial}{\partial \varphi}$  on the sphere, thus removing the embedding manifold  $\mathbb{R}^3$  from the definition of  $T_{\vec{X}} S^2 = \text{span} \{ \vec{V}_\theta |_{\vec{X}}, \vec{V}_\varphi |_{\vec{X}} \}$ .

## 2.2 Tangent vectors

We can now formalize the intuition

tangent vectors = directional derivatives

Def: Tangent vector at a point  $p \in M$ .

Preparation: We call a function  $f: M \rightarrow \mathbb{R}^m$  smooth; i.e.  $f \in C^\infty(M; \mathbb{R}^m)$  if for any chart  $(\alpha, U, \psi)$  the function

$\tilde{f} = (f \circ \psi^{-1}): \underbrace{\psi(U)}_{\cong \mathbb{R}^n} \rightarrow \mathbb{R}^m$  is smooth.

Tangent vector: A function  $V_p: C^\infty(M; \mathbb{R}) \rightarrow \mathbb{R}$  is said to be a tangent vector (or directional derivative) at  $p \in M$  (symbolically  $V_p \in T_p M$ ) if the following two conditions hold true:

(i. Linearity)  $\forall f, g \in C^\infty(M; \mathbb{R}); a, b \in \mathbb{R}$ :

$$V_p[af + bg] = a V_p[f] + b V_p[g]$$

(ii. Leibniz rule)  $\forall f, g \in C^\infty(M; \mathbb{R})$ :

$$V_p[f \cdot g] = V_p[f] g(p) + f(p) V_p[g]$$

This further implies:

(i) For the constant function  $\mathbb{1}: M \rightarrow \mathbb{R}, p \mapsto 1$

$$V_p[\mathbb{1}] = 0$$

(ii) There's the null vector

$$\mathbb{0}: C^\infty(M) \rightarrow \mathbb{R}; f \mapsto \mathbb{0}[f] = 0$$

clearly  $\mathbb{0} \in T_p M \quad \forall p \in M$ .

(iii) If  $V_p$  and  $U_p \in T_p M$  then also  $aV_p + bU_p \in T_p M$ , for all  $a, b \in \mathbb{R}$ , hence  $T_p M$  is a real vector space.

### Examples for tangent vectors

(1) Let  $\gamma: [-1, 1] \rightarrow \mathcal{U} \subset M$  be a smooth path through  $\gamma(0) = p$ ; The map

$$\dot{\gamma}_p[f] = \left. \frac{d}{dt} \right|_{t=0} (f \circ \gamma)(t) \quad \text{for all } f \in C^\infty(M; \mathbb{R})$$

fulfills all requirements, thus  $\dot{\gamma}_p \in T_p M$ . In a

local chart  $(\mathcal{U}, \psi)$   $\gamma$  turns into a path

$$(\psi \circ \gamma): [-1, 1] \rightarrow U; t \mapsto (\psi \circ \gamma)(t) =$$

$$= (x^1(\gamma(t)), \dots, x^n(\gamma(t))); \text{ hence:}$$

$$\dot{\gamma}_p[x^r] = \left. \frac{d}{dt} \right|_{t=0} x^r(\gamma(t)); \quad r = 1, \dots, n$$

(2) Let  $(v^1, \dots, v^n) \in \mathbb{R}^n$ , consider a chart  $(\mathcal{U}, \psi, \varphi)$  and define for any  $f \in C^\infty(M; \mathbb{R})$ :

$$\tilde{f}(x^1, \dots, x^n) = (f \circ \varphi^{-1})(x^1, \dots, x^n)$$

$$V_p[f] = \sum_{h=1}^n v^h \frac{\partial \tilde{f}}{\partial x^h} \Big|_{\varphi(p)}$$

then  $V_p \in T_p M$ . In fact, any  $V_p \in T_p M$  can be written in this form.

It now follows:

- (i)  $T_p M$  has dimension  $\dim(M) = \dim(T_p M) = n$ .
- (ii) If  $(\mathcal{U}, \psi, \varphi)$  is a chart around  $p \in \mathcal{U}$  then the derivatives

$$X_{\mu} \Big|_p [f] = \frac{\partial (f \circ \varphi^{-1})}{\partial x^\mu} \Big|_{\varphi(p)} ; \forall f \in C^\infty(M; \mathbb{R})$$

with  $\mu = 1, \dots, n$  form a basis in  $T_p M$ .

We will now prove this by explicitly constructing a basis in  $T_p M$ :

Consider first a smooth function,  $\tilde{f} \in C^\infty(M; \mathbb{R})$  with  $U \subset \mathbb{R}^n$ ; we write:

$$\begin{aligned} \tilde{f}(x) - \tilde{f}(x_0) &= \int_0^1 dt \frac{d}{dt} \tilde{f}(tx + (1-t)x_0) = \\ &= \sum_{n=1}^n (x^n - x_0^n) \int_0^1 dt \frac{\partial \tilde{f}}{\partial x^n} \Big|_{tx + (1-t)x_0} \end{aligned}$$

chain rule  $\uparrow$

We now lift this equation to the manifold. Let  $(\mathcal{U}, \psi)$  be a chart; for any  $p, p_0 \in \mathcal{U}$  and  $\tilde{f} = f \circ \psi^{-1}$  for some  $f \in C^\infty(M; \mathbb{R})$ :

$$\begin{aligned} f(p) - f(p_0) &= \sum_{n=1}^n (x^n(p) - x^n(p_0)) \\ &\int_0^1 dt \frac{\partial (f \circ \psi^{-1})}{\partial x^n} \Big|_{t\psi(p) + (1-t)\psi(p_0)} \end{aligned}$$

with  $\psi(p) = (x^1(p), \dots, x^n(p))$

$$x^n(p) \equiv (x^n \circ \psi)(p)$$



$$f(p) = f(p_0) + \sum_{n=1}^n (x^n(p) - x^n(p_0)) \int_0^1 dt \frac{\partial(f \circ \psi^{-1})}{\partial x^n} \Big|_{+\psi(p) + (1-t)\psi(p_0)}$$

We now compute  $V_p[f]$  while keeping  $p_0$  fixed:

$$\begin{aligned} V_{p_0}[f] &= \underbrace{V_{p_0}[f(p_0)]}_{=0} + \\ &+ \sum_{n=1}^n V_{p_0}[x^n + x^n(p_0)] \int_0^1 dt \frac{\partial(f \circ \psi^{-1})}{\partial x^n} \Big|_{\psi(p_0)} + \\ &+ \sum_{n=1}^n \underbrace{(x^n(p_0) - x^n(p_0))}_{=0} V_{p_0} \left[ \int_0^1 dt \frac{\partial(f \circ \psi^{-1})}{\partial x^n} \Big|_{+\psi(p_0) + (1-t)\psi(p_0)} \right] \\ &= \sum_{n=1}^n V_{p_0}[x^n] \frac{\partial(f \circ \psi^{-1})}{\partial x^n} \Big|_{\psi(p_0)} \end{aligned}$$

For arbitrary  $f \in C^\infty(M; \mathbb{R})$ :  $V_p[f] = 0 \iff V_p[x^n] = 0$ .

- Thus:
- (i)  $T_p M$  has dimensions  $\dim(T_p M) = \dim(M) = n$
  - (ii) If  $(\mathcal{U}, \mathcal{U}, \psi)$  is a chart, then the directional derivatives:

$$X_n|_p[f] = \frac{\partial(f \circ \psi^{-1})}{\partial x^n} \Big|_{\psi(p)} ; \quad \psi = (x^1, \dots, x^n)$$

form a basis in  $T_p M$ .

We can thus write:

$$V_p = \sum_{\mu=1}^n V_p[x^\mu] X_\mu|_p = \sum_{\mu=1}^n V_p^\mu X_\mu|_p$$

and we call  $V_p[x^\mu] = V_p^\mu$  the components of  $V_p \in T_p M$  with respect to the chart  $(\mathcal{U}, \psi)$ ;  $p \in \mathcal{U}$ ;  $\psi(p) = (x^1(p), \dots, x^n(p))$ .

### Simplified notation

- $X_\mu|_p[f] = \frac{\partial(f \circ \psi^{-1})}{\partial x^\mu} \Big|_p = \frac{\partial f}{\partial x^\mu} \Big|_p$
- $V_p[x^\mu \circ \psi] = V_p[x^\mu] = V_p^\mu$
- Einstein Summation convention

$$\sum_{\mu=1}^n V_p[x^\mu] X_\mu|_p = V_p^\mu X_\mu|_p = V_p^\mu \frac{\partial}{\partial x^\mu} \Big|_p$$

## 2.3 Coordinate Transformations

For a given chart  $(\mathcal{U}, \psi)$  we call the  $n$ -tuple

$$(X_1|_p, \dots, X_n|_p) = \left( \frac{\partial}{\partial x^1} \Big|_p, \dots, \frac{\partial}{\partial x^n} \Big|_p \right)$$

the coordinate basis of  $T_p M$  w.r.t.  $(\mathcal{U}, \psi)$ .

What happens if we had chosen another overlapping chart  $(\tilde{\mathcal{U}}, \tilde{\psi})$ ;  $\tilde{\mathcal{U}} \cap \mathcal{U} \neq \emptyset$ ?

Let  $(\mathcal{U}, \psi)$  and  $(\tilde{\mathcal{U}}, \tilde{\psi})$  be two charts with a common overlap  $\mathcal{U} \cap \tilde{\mathcal{U}} \neq \emptyset$ .

We write:

$$\psi \circ \tilde{\psi}^{-1} = (x^1(\tilde{x}), \dots, x^n(\tilde{x}))$$

$$\tilde{\psi} \circ \psi^{-1} = (\tilde{x}^1(x), \dots, \tilde{x}^n(x))$$

And they have:

$$\begin{aligned}V_p[f] &= V_p^n \frac{\partial f}{\partial x^n} \Big|_p = V_p^n \frac{\partial \tilde{x}^v(x)}{\partial x^n} \Big|_p \frac{\partial f}{\partial \tilde{x}^v} \Big|_p \\ &= \tilde{V}_p^n \frac{\partial f}{\partial \tilde{x}^v} \Big|_p\end{aligned}$$

thus:

$$\begin{aligned}\tilde{V}_p^n &= V_p^n \frac{\partial \tilde{x}^v(x)}{\partial x^n} \\ \frac{\partial}{\partial x^n} \Big|_p &= \frac{\partial \tilde{x}^v(x)}{\partial x^n} \frac{\partial}{\partial \tilde{x}^v} \Big|_p\end{aligned}$$

Where  $J^n_v(x) = \frac{\partial \tilde{x}^v(x)}{\partial x^n}$  is the Jacobi matrix.

$$(J^{-1})^n_v(\tilde{x}) = \frac{\partial \tilde{x}^v(x)}{\partial x^n}$$

$$J^n_v (J^{-1})^v_\alpha = \delta^n_\alpha$$